

1630 Hillcrest Street
Orlando, Florida 32803
USA



Van Dyke & Associates, PA

INTELLECTUAL PROPERTY LAW

Phone: (407) 228-0328
Fax: (407) 228-0329
info@patentinternational.com
www.patentinternational.com

FACSIMILE COVER SHEET

The information contained in this facsimile message is intended only for the personal and confidential use of the designated recipients named below. This message may be an attorney-client communication, and as such is privileged and confidential. If the reader of this message is not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this document in error, and that any review, dissemination, distribution, or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message by mail. Thank you.

TO : OIPE
COMPANY : USPTO
FAX No. : 703-746-4060
No of PAGES : 8 (including cover sheet)
FROM : Van Dyke & Associates, P.A.
DATE : July 30, 2002
RE : Serial No: 09/909,414
Our Docket No: AdVec10CA

RECEIVED

AUG 1 4 2002

TECH CENTER 1600/2900

VIA FACSIMILE ONLY - PLEASE CONFIRM RECEIPT

Please see the attached items:

1. Transfer Request for Sequence Listing from Parent Application (3 pgs.)
2. Copy of Notice to Comply (1 pg.)
3. Paper copy of Sequence Listing (3 pgs.).

Respectfully submitted,

Joseph Fischer, Reg. No. 51210
Van Dyke & Associates, P.A.

I hereby certify that this correspondence is being facsimile transmitted to the USPTO, Assistant Commissioner for Patents, OIPE, at fax number 703-746-4060, on the date indicated below.

Joseph Fischer, Reg. No. 51210

Date

If you do not receive all pages or if any portion of this transmission is not legible, call the sender at (407) 228-0328.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,414	07/19/2001	Frank L. Graham	AdVec10CA

29847
VAN DYKE & ASSOCIATES, P.A.
1630 HILLCREST STREET
ORLANDO, FL 32803

RECEIVED

AUG 14 2002

CONFIRMATION NO. 7286

FORMALITIES LETTER



OC000000008209284

TECH CENTER 1600/2900

Date Mailed: 05/30/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Graham *et al.*

Application No.: 09/909,414

Filed: 7/19/2001

Title: RECOMBINASE-BASED
SYSTEM FOR
CONSTRUCTION OF
ADENOVIRUS VECTORS

Group Art Unit: 1636

RECEIVED

AUG 30 2002

TECH CENTER 1600/2900

Attorney Docket No.: AdVec10CA

Transfer Request for Sequence Listings from a Parent Application**Notice of Compliance with Sequence Listing Requirements****under 37 CFR 1.821-1.824**

This Transfer Request is made in lieu of the providing the CRF and associated statements as requested in the attached "Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures. It is believed that this Transfer Request will bring the above referenced application into compliance.

To comply with the requirements of 37 C.F.R. 1.821-1.825, Applicant states and/or provides the following.

A paper copy of the "Sequence Listing" for this Application is provided herein in accordance with 37 C.F.R. 1.821(c). This is from parent application no. 09/263,650, filed 03/05/1999.

The claims as filed comply with 37 C.F.R. 1.821(d).

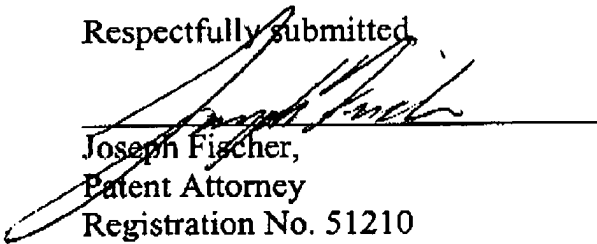
The Applicant respectfully requests that the U.S. Patent Office use as the electronic copy for the present application the compliant computer readable "Sequence Listing" that is already on file in the parent case, U.S. Application No. 09/263,650, filed 03/05/1999 (or in a related, appropriate parent to this parent). This is in accordance with 37 C.F.R. 1.821(e).

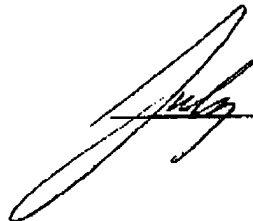
In accordance with 37 C.F.R. 1.821(f), the undersigned Attorney for Applicant hereby states that the sequence listing information recorded in the compliant computer readable "Sequence Listing" of the parent application 09/263,650, filed 03/05/1999, is identical to the written paper copy of the "Sequence Listing" of the present application.

In accordance with 37 C.F.R. 1.821(g), the undersigned Attorney for Applicant hereby states that the content of the paper copy of the "Sequence Listing" and the compliant computer readable "Sequence Listing" from the parent application 09/263,650, filed 03/05/1999, contain no new matter.

The Applicants believe that all requirements under of 37 C.F.R. 1.821-1.825 are met with the above provided information and statements. **If any requirement is not met by the above, a telephone call to the attorney below will be appreciated.**

Respectfully submitted,


Joseph Fischer,
Patent Attorney
Registration No. 51210

 July 30, 2002 (Date)

Customer No: 29847

Van Dyke & Associates, P.A.

(formerly BENCEN & VAN DYKE, P.A.)

1630 Hillcrest Street

Orlando, Florida 32803

Phone No.: 407-228-0328

Fax No.: 407-228-0329